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PTO/SB/21(08-00)

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## TRANSMITTAL FORM

(to be used for all correspondence after initial filing)

|                      |               |
|----------------------|---------------|
| Application Number   | 10/625,072    |
| Filing Date          | July 22, 2003 |
| First Named Inventor | Richard Milic |
| Group Art Unit       | Unknown       |
| Examiner Name        | Unknown       |

Total Number of Pages in This Submission

65

Attorney Docket Number

FA1156 US NA

### ENCLOSURES (check all that apply)

☐ Fee Transmittal Form

☐ Fee Attached

☐ Amendment / Response

☐ After Final

☐ Affidavits/declaration(s)

☐ Extension of Time Request

☐ Express Abandonment Request

☒ Information Disclosure Statement  
(3<sup>rd</sup>)

☐ Certified Copy of Priority  
Document(s)

☐ Response to Missing Parts/  
Incomplete Application

☐ Response to Missing  
Parts under 37 CFR  
1.52 or 1.53

☐ Assignment Papers  
(for an Application)

☐ Drawing(s)

☐ Licensing-related Papers

☐ Petition

☐ Petition to Convert to a  
Provisional Application

☐ Power of Attorney, Revocation  
Change of Correspondence Address

☐ Terminal Disclaimer

☐ Request for Refund

☐ CD, Number of CD(s) \_\_\_\_\_

☐ After Allowance Communication  
to Group

☐ Appeal Communication to Board of  
Appeals and Interferences

☐ Appeal Communication to Group  
(Appeal Notice, Brief, Reply Brief)

☐ Proprietary Information

☐ Status Letter

☒ Other Enclosure(s) (please  
identify below):

- PTO/SB/08A
- PTO/SB/08B
- PCT Search Report
- 7 References
- Certificate of Mailing
- Receipt Card

Remarks

### SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT

Firm  
or  
Individual name

Hilmar Fricke (Registration No. 22,384)

Signature

Date

December 30, 2004

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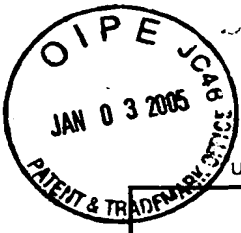
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Application No: 10/625,072  
Filing Date: July 22, 2003  
Title: Aqueous Coating Compositions  
Attorney Docket: FA1156 US NA

- 3<sup>rd</sup> Information Disclosure Statement
- Forms PTO/SB/08A and PTO/SB/08B
- PCT Search Report
- 7 References
- Transmittal Form
- Receipt Card



PATENT

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

In re Application of:

RICHARD MILIC

CASE NO: FA 1156 US NA

SERIAL NO: 10/625,072

GROUP ART UNIT: UNKNOWN

FILED: JULY 22, 2003

EXAMINER: UNKNOWN

FOR: AQUEOUS COATING COMPOSITION

**THIRD INFORMATION DISCLOSURE STATEMENT**

Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

Sir:

Pursuant to 37 C.F.R. §§ 1.56, 1.97, and 1.98, Applicant brings to the attention of the Examiner the documents listed on the attached Supplemental Form PTO/SB/08A. This Supplemental Information Disclosure Statement is being filed before the mailing date of a first Office Action on the merits for the above-referenced application. These references became known to the applicant through the PCT International Search Report. The references and a copy of the International Search Report are enclosed.

Applicants reserve the right to establish the patentability of the claimed invention over any of the information provided herewith, and/or prove that this information may not be prior art, and/or prove that this information may not be enabling for the teachings purportedly offered.


This statement should not be construed as a representation that an exhaustive search has been made, or that there does not exist information more material to the examination of the present patent application. The Examiner is specifically requested not to rely solely on the material submitted

herewith. It is further understood that the Examiner will review art of record in all 35 U.S.C. § 120 priority documents.

It is respectfully requested that the Examiner initial and return a copy of the enclosed PTO/SB/08A and to indicate in the official file wrapper of this patent application that the documents have been considered.

Should there be a fee due which is not accounted for, please charge such fee to Deposit Account No. 04-1928 (E.I. du Pont de Nemours and Company).

Respectfully submitted,

By: 

Hilmar L. Fricke

Attorney for Applicants

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Dated: December 30, 2004

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Substitute for form 1449A/PTO

## INFORMATION DISCLOSURE STATEMENT BY APPLICANT

*(Use as many sheets as necessary)*

Sheet

1

of

2

Attorney Docket Number

FA1156 US NA

**Complete if Known**

Application Number

10/625.072

Filing Date

July 22, 2003

First Named Inventor

Richard Milic

### Art Unit

Unknown

Examiner Name

Unknown

## U. S. PATENT DOCUMENTS

[illegible]

## FOREIGN PATENT DOCUMENTS

| Examiner Initials* | Cite No. <sup>1</sup> | Foreign Patent Document  | Publication Date<br>MM-DD-YYYY | Name of Patentee or<br>Applicant of Cited Document | Pages, Columns, Lines,<br>Where Relevant Passages<br>or Relevant Figures Appear | T <sup>6</sup> |
|--------------------|-----------------------|--|--------------------------------|--|---|----------------|
|                    |                       | Country Code <sup>3</sup> -Number <sup>4</sup> Kind Code <sup>5</sup> (if known) |                                |  |   |                |
|                    |                       | DE 43 38 265   | 12-08-1994                     | Herberts GMBH                                      |   |                |
|                    |                       | EP 1 362 874   | 11-19-2003                     | DuPont   |   |                |
|                    |                       | WO97/40113   | 10-30-1997                     | Advanced Adhesive                                  |   |                |
|                    |                       |  |                                |  |   |                |

|                       |  |                    |  |
|-----------------------|--|--------------------|--|
| Examiner<br>Signature |  | Date<br>Considered |  |
|-----------------------|--|--------------------|--|

\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. 1 Applicant's unique citation designation number (optional). 2 See Kinds Codes of USPTO Patent Documents at [www.uspto.gov](http://www.uspto.gov) or MPEP 901.04. 3 Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). 4 For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. 5 Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST.16 if possible. 6 Applicant is to place a check mark here if English language Translation is attached.

This collection of information is required by 37 CFR 1.97 and 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: **Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.**

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